

**InterPlanetary Network Directorate**  
**Deep Space Mission Systems**



**MODULATION  
TECHNIQUES  
for  
BANDWIDTH  
EFFICIENCY**

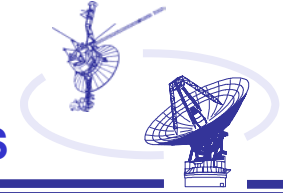
**Presented by:**  
**WARREN L. MARTIN**  
**DENNIS LEE**  
**TSUN-YEE YAN**

**27 MARCH 2003**



# MODULATION TECHNIQUES

## HISTORY OF CCSDS BANDWIDTH-EFFICIENT STUDIES

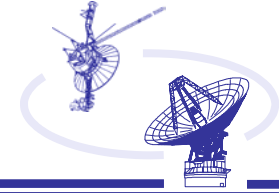


- 1990-91 - NEAR LOSS OF 2 GHz BAND TO PCS INDUSTRY CAUSES CONCERN
- 1992 - SPACE FREQUENCY COORDINATION GROUP (SFCG) ASKS CCSDS RF & MODULATION SUBPANEL TO RECOMMEND IMPROVED MODULATION METHODS
  - INTERNATIONAL EFFORT MOUNTED TO STUDY BANDWIDTH-EFFICIENT MODULATION
- 1993-94 - PHASES 1 & 2: ASSESSED COMMON MODULATION SCHEMES & FILTERING
- 1997 – PHASE 3: STUDIED SEVERAL MODULATION TYPES BOTH COMMON & NEW
  - PCM/PM/NRZ      – PCM/PM/Bi-Ph      – BPSK      – QPSK      – OQPSK
  - MSK              – 8-PSK              – GMSK      – FQPSK-B      – 4D 8PSK TCM (CNES 98)
  - FOUND BASEBAND FILTERING IMPROVED BANDWIDTH-EFFICIENCY BY MANY TIMES
  - QUANTIFIED END-TO-END LOSSES, TRELLIS DEMOD. REDUCES GMSK & TOQPSK LOSSES
- 1997 – SFCG ADOPTS REC. 17-2 SPECTRUM MASK (MODIFIED TO 17-2R1 IN 1998)
- 1999 – PHASE 4: EXPLORED SUSCEPTIBILITY TO INTERFERENCE, FOUND:
  - INTERFERENCE SUSCEPTIBILITY DETERMINED BY RECEIVER'S MATCHED FILTER
- SFCG MASK RECOMMENDATION MODIFIED AGAIN IN 2001, NOW REC. 21-2R1
  - SUBSTANTIALLY SAME MASK AS IN REC. 17-2R1
- CCSDS ADOPTED MODULATION RECOMMENDATIONS IN JUNE 2001
  - 401 (2.4.17A) B-1 (CAT A), 401 (2.4.17B) B-1 (CAT B), AND 401 (2.4.18) B-1 (EES)
  - FOCUS WILL BE ON 401 (2.4.18) B-1 FOR EES MISSIONS; 4D 8-PSK TCM

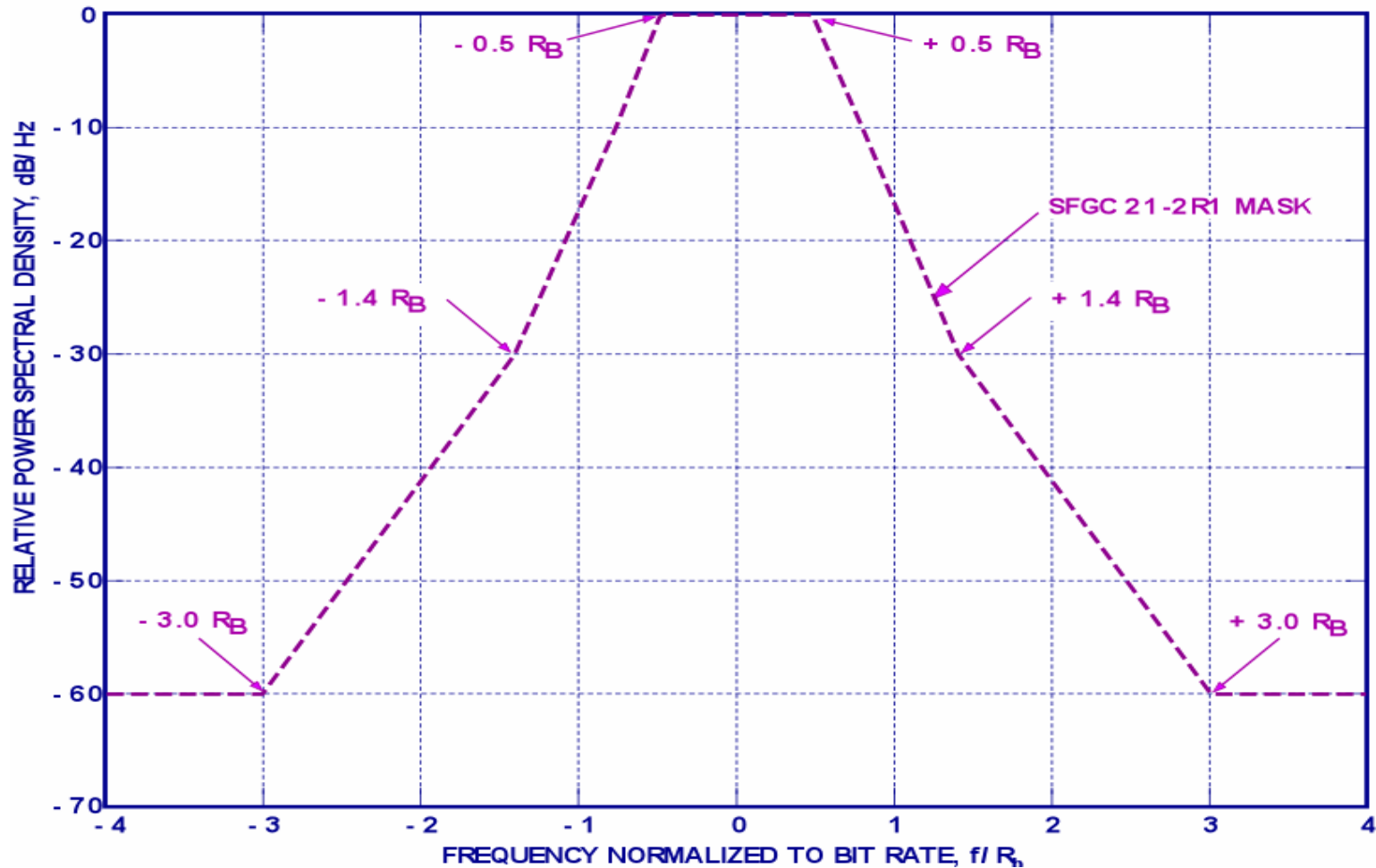
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# MODULATION TECHNIQUES

## SFCG SPECTRAL EMISSION MASK (Rec.21-2R1)



**EMISSIONS MASK, SFCG RECOMMENDATION 21-2R1**  
**Applicable to the 8025 - 8400 MHz Band; Data Rates > 2 Msps**

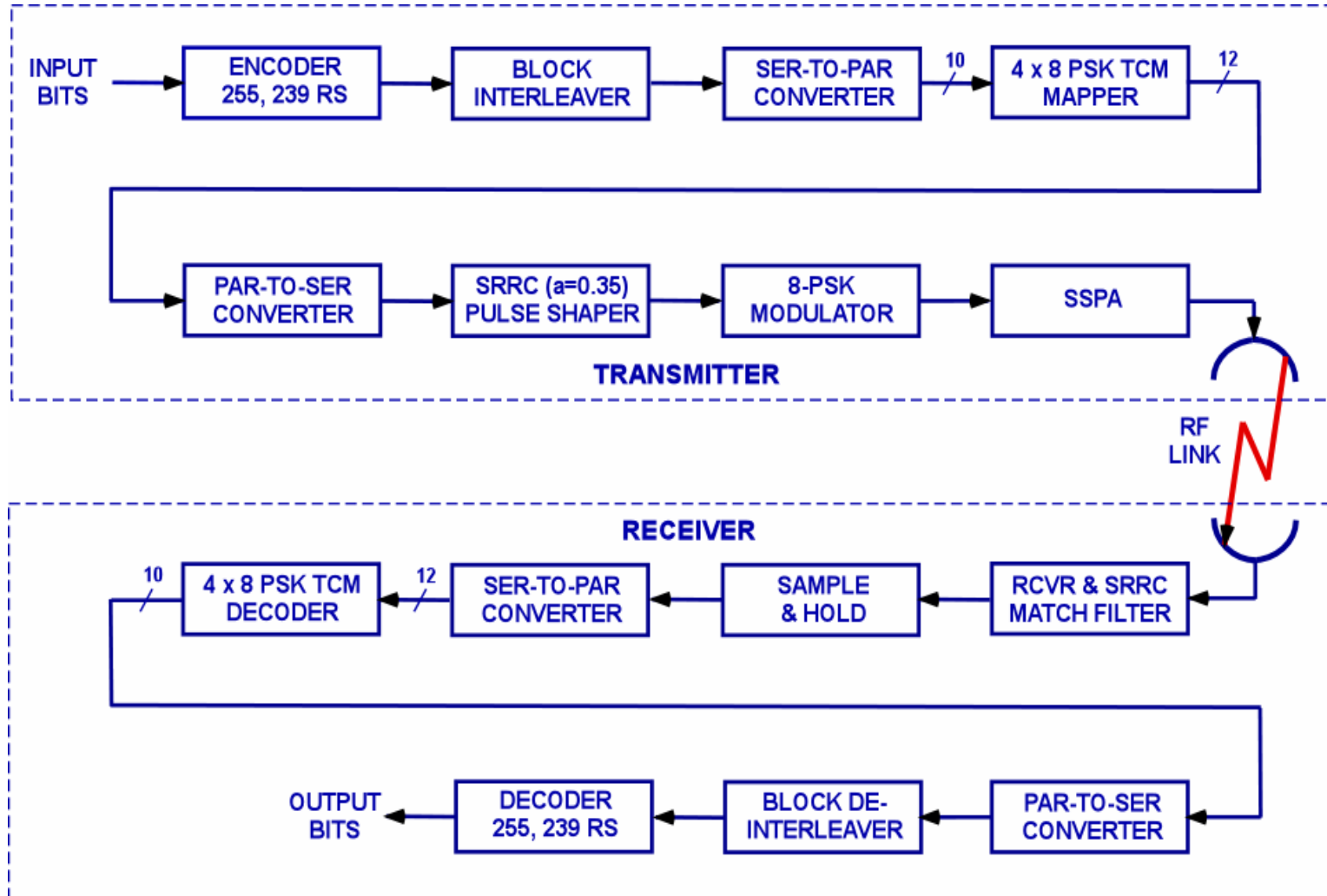
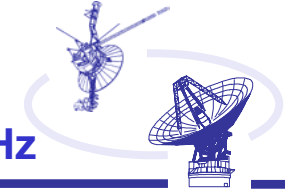




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# MODULATION TECHNIQUES

## 4D 8-PSK TCM SYSTEM IMPLEMENTATION, 2.5 Bits/Hz



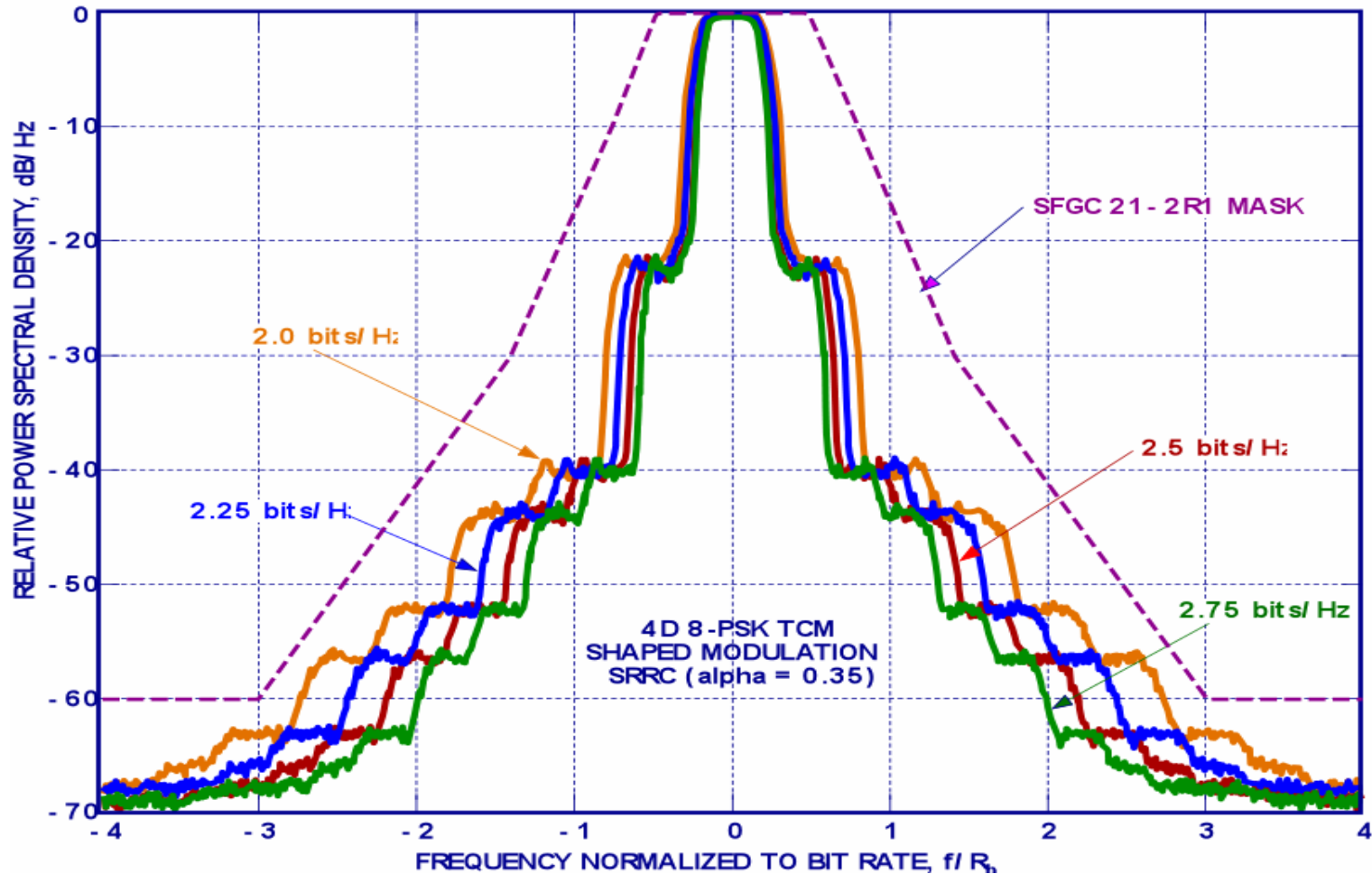

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# MODULATION TECHNIQUES

## 4D 8-PSK SPECTRA (CCSDS Rec. 401 (2.4.18) B-1)

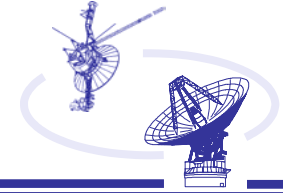


**CCSDS RECOMMENDATION 401 (2.4.18) B-1, SIMULATION IN NON-LINEAR CHANNEL**  
**Applicable to 8025 - 8400 MHz Band (see SFCG Recommendation 21-2R1)**





# MODULATION TECHNIQUES SYSTEM PERFORMANCE



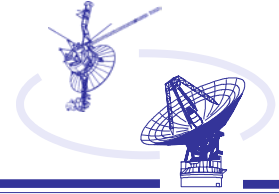
- **END-TO-END LOSSES COMPUTED RELATIVE TO IDEAL UNCODED BPSK**
  - 4D 8-PSK TCM SELECTED BY CCSDS FOR LOW END-TO-END LOSSES AT 2.0 & 2.25 b/Hz
  - HOWEVER, BANDWIDTH EFFICIENCY IS NOT OUTSTANDING AT THESE EFFICIENCIES

BANDWIDTH EFFICIENCY (bits/Hz)	4D 8-PSK TCM PERFORMANCE			
	4D 8-PSK TCM (No Add'l Coding) <sup>1</sup>		4D 8-PSK TCM with RS 255, 239 Coding <sup>2</sup>	
	End-to-End Gain <sup>3</sup> (dB)	Reqd. $E_B/N_0$ (dB)	End-to-End Gain <sup>3</sup> (dB)	Reqd. $E_B/N_0$ (dB)
2.00	2.8	6.8	5.2 <sup>3</sup> (1.8) <sup>4</sup>	5.3
2.25	2.1	7.5	-	-
2.50	1.2	8.4	3.1 <sup>3</sup> (-.3) <sup>4</sup>	7.4
2.75	-0.2	9.8	-	-

NOTES:

1. Performance at  $BER = 1 \times 10^{-5}$ ; obtained by simulation employing model for fully saturated ESA power amplifier.
2. Performance at  $BER = 1 \times 10^{-6}$ ; fully saturated ESA power amplifier; RS interleave depth = 5.
3. Gain Computed relative to ideal uncoded BPSK.
4. Gain Computed relative to ideal BPSK with Convolutional ( $r=1/2$ ,  $k=7$ ) + RS (255, 223) coding.

- **INTERFERENCE SUSCEPTIBILITY DETERMINED BY RECEIVER'S FILTER**
  - NO CNES MEASUREMENTS FOUND FOR THIS MODULATION TYPE



# BACKUP



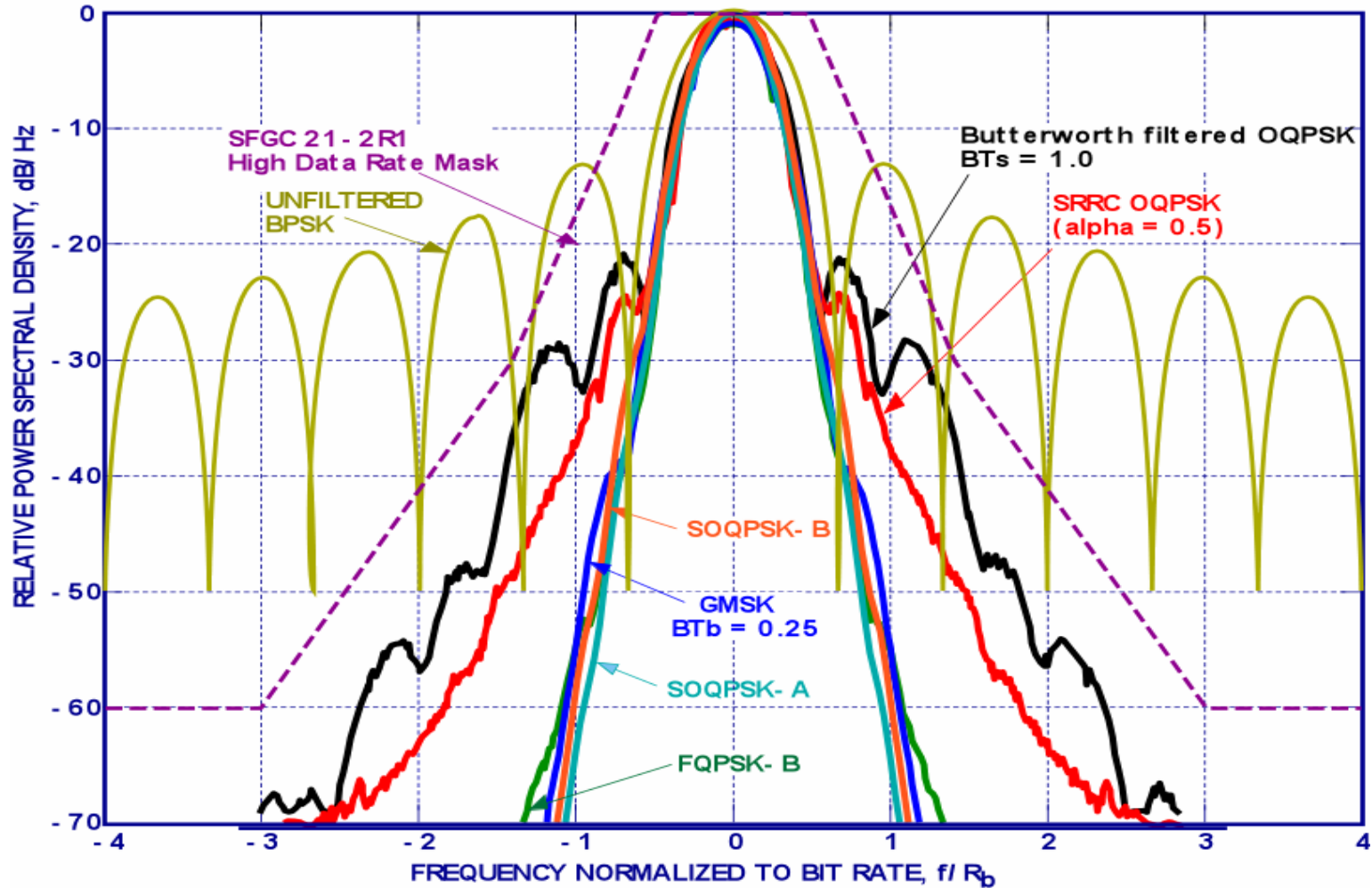
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# MODULATION TECHNIQUES

## MODULATION SPECTRA, CATEGORY A SR MISSIONS



CCSDS REC. 401 (2.4.17A) B-1 MODULATION SPECTRA WITH SATURATED SSPA

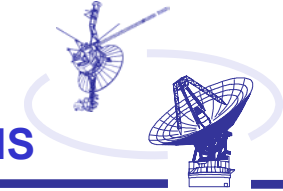






# MODULATION TECHNIQUES

## SYSTEM PERFORMANCE, CATEGORY A SR MISSIONS



- TRELLIS DEMODULATION CAN BE USED TO REDUCE END-TO-END LOSSES
- LOSSES BASED ON RECEIVER MATCHED FILTER

MODULATION TYPE (Name)	END-TO-END PERFORMANCE <sup>1</sup>			
	No Additional Coding <sup>2</sup>		With Convolutional + RS 255, 223 Coding <sup>3</sup>	
	End-to-End Loss <sup>4</sup> (dB)	Reqd. $E_b/N_0$ (dB)	End-to-End Gain <sup>4</sup> (dB)	Reqd. $E_b/N_0$ (dB)
GMSK (BT = 0.25)	- 0.4	10.0 dB	7.8 <sup>4</sup> (- 0.15) <sup>5</sup>	2.7 dB
GMSK (BT = 0.50)	- 0.1	9.7 dB	7.9 <sup>4</sup> (- 0.05) <sup>5</sup>	2.6 dB
FQPSK-B	- 0.8	10.4 dB	7.6 <sup>4</sup> (- 0.35) <sup>5</sup>	2.9 dB
SRRQ OQPSK ( $\alpha=0.5$ )	- 0.9	10.5 dB	7.7 <sup>4</sup> (- 0.25) <sup>5</sup>	2.8 dB

NOTES:

1. Performance obtained by simulation employing model for fully saturated ESA power amplifier.
2. Receiver type = trellis demodulation for GMSK and FQPSK, matched filter detection for SRRQ OQPSK; BER =  $1 \times 10^{-5}$
3. Receiver type = quasi-matched filter filter; 3-bit Viterbi metric quantization; BER =  $1 \times 10^{-6}$
4. Losses computed relative to ideal uncoded BPSK.
5. Losses computed relative to ideal BPSK with Convolutional ( $r=1/2$ ,  $k=7$ ) + RS (255, 223) coding.

- INTERFERENCE SUSCEPTIBILITY DETERMINED BY RECEIVER'S FILTER
  - EVALUATED BOTH NARROWBAND (SINGLE TONE) & WIDEBAND (BPSK) INTERFERORS
  - FOR EQUAL VICTIM – INTERFEROR POWER LEVELS
    - NARROWBAND VICTIM LOSSES < 0.5 dB AT  $\pm 0.5 R_B$
    - WIDEBAND VICTIM LOSSES < 0.5 dB AT  $\pm 1.2 R_B$

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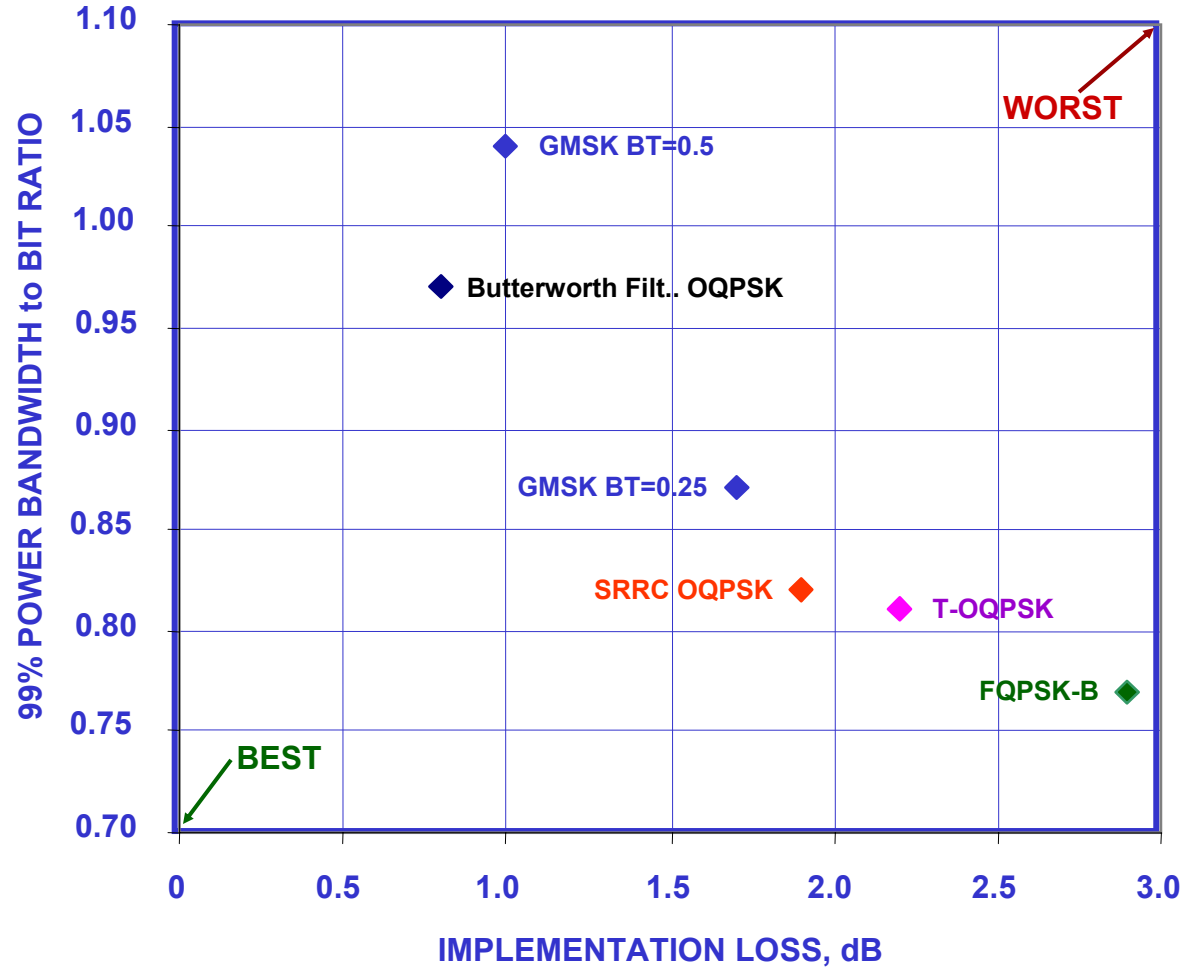
# MODULATION TECHNIQUES

## MODULATION PERFORMANCE, CATEGORY A SR MISSIONS



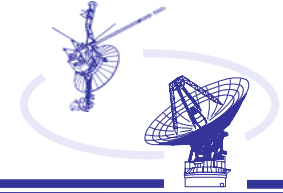
### MEASURED IMPLEMENTATION LOSS (RELATIVE TO IDEAL BPSK)

JPL BLOCK V RCVR, 99% POWER BANDWIDTH, INTEGRATE & DUMP FILTER  
UNCODED BER =  $1 \times 10^{-3}$





# MODULATION TECHNIQUES REFERENCES



- WEB SITES CONTAINING USEFUL INFORMATION
  - JPL INTERPLANETARY NETWORK, DSMS, FUTURE MISSION PLANNING OFFICE AT:
    - <http://deepspace.jpl.nasa.gov/advmiss/index.html>
  - CCSDS PUBLICATIONS AT:
    - <http://www.ccsds.org/publications.html>
  - SFCG AT:
    - <http://www.sfcgonline.org/>
- DOCUMENTS
  - *Proceedings of the CCSDS RF and Modulation Subpanel 1E Meeting of May 2001 Concerning Bandwidth-Efficient Modulation. Yellow Book. Issue 2. June at:*
    - <http://www.ccsds.org/CCSDS/recent.jsp>
  - CCSDS RECOMMENDATIONS AND REPORTS AT:
    - <http://www.ccsds.org/CCSDS/recommandreports.jsp>
  - SFCG HANDBOOK AT:
    - <http://www.sfcgonline.org/handbook/index.shtml>